Carolina Casualty Insurance Company 8381 Dix Ellis Trail, Jacksonville, FL 32256

Real Estate Supplemental Form

Lawyers' Professional Liability Insurance

CLAIMS MADE WARNING FOR APPLICATION

THIS PROPOSAL FORM IS FOR A CLAIMS MADE AND REPORTED POLICY, RELATING TO CLAIMS MADE AGAINST THE INSUREDS DURING THE POLICY PERIOD, THE AUTOMATIC EXTENDED REPORTING PERIOD OR THE PURCHASED EXTENDED REPORTING PERIOD, IF APPLICABLE.

ı		NT FIRM'S INSTRUCTIONS		-NTO
	SPACE IS INSUFFICIENT TO ANSWER AN Estate Experience	NY QUESTIONS FULLY, PROVIL	DE SEPARATE ATTACHMI	ENIS.
- Tour	Name of Each Attorney Who Performs Real Estate Work	Number of Years Real Estate Experience	Percentage of Time Devo	oted
	e percentage of real estate work listed in the "Area o rived from:	f Practice" section of the Proposal Form	, what portion	
(a)	Purchase and Sale – Residential			%
(b)	Purchase and Sale – Commercial			%
(c)	Land Use/Development			%
(d)	Financing/Loan Workouts			%
(e)	Mortgages/Foreclosures – Residential			%
(f)	Mortgages/Foreclosures – Commercial			%
(g)	Landlord/Tenant			%
(h)	Construction/Mechanics' Liens			%
(i)	Tax Abatement			%
(j)	Condominiums/Cooperatives/Town Homes			%
(k)	Other (attach separate narrative)			%
"A	THIS FIGURE MUST MATCH THE TOTAL PERC REA OF PRACTICE" SECTION, REAL PROPERTY		TOTAL	%
(e.g.,	the Applicant Firm undertake any aspect of financia tax ramification or appraisal)?	,	☐ Ye	s 🗖 No
	the Applicant Firm undertake responsibility for the preparation of settlement statements or determinati	,		s 🗖 No
Indica	ate whether the Applicant Firm rendered written opin	ions to clients on the following:		
a)	Land use, zoning, and real property regulatory matte	ers	☐ Ye	s 🗖 No
b)	Securitization of loans			s 🗖 No
•	Compliance with laws			s 🗖 No
d)	Lien validity, priority, and perfection (e.g., priority of	security interests in personal property an	nd deed of trust	
	liens on real property)			s 🗖 No
e)	Possession of required permits and licenses		☐ Ye	s 🗖 No

LPL 29660 (rev. 05-99) Page 1 of 4 **Carolina Casualty Insurance Company** Regulatory matters (e.g., Interstate and Land Sale Act) ☐ Yes ☐ No Bankruptcy matters ☐ Yes ☐ No q) Foreign transactions ☐ Yes ☐ No h) i) Tax matters ☐ Yes ☐ No i) **Environmental matters** ☐ Yes ☐ No Indicate whether the Applicant Firm has provided legal services in connection with any property transfer in which 7. the Applicant Firm also performed the following (also please indicate the percentage of commercial or residential): Residential Commercial Abstracting services ☐ Yes ☐ No Title opinion ☐ Yes ☐ No b) Issuance of title policy ☐ Yes ☐ No c) % Escrow services ☐ Yes ☐ No % UCC search ☐ Yes ☐ No 1.) If "Yes" to any part of Question 7, above, what percentage of the applicant's receipts come from title policies issued to properties on which the applicant also performed the abstracting services or title opinion? **Current Year** % Previous 12 mos. % 2.) If "Yes" to any part of Question 7, above, state the name of the Title Insurance Company or Companies represented and the approximate premium volume placed with each: (Provide separate attachment if necessary.) Title Insurance Company Approximate Premium Volume **Current Year** \$ Previous 12 mos. \$ Does the Applicant Firm have a procedure requiring that at least one lawyer, who is not working on the 8. transaction question, review and approve all legal descriptions and commitments in closing documents, including title? ☐ Yes ☐ No If "Yes", in writing? ☐ Yes ☐ No 9. Does the Applicant Firm have a procedure requiring the preservation of written records of its lawyers in connection with directions received from clients and client acknowledgment of actions taken?

LPL 29660 (rev. 05-99) Page 2 of 4

Does the Applicant Firm have a policy which prohibits any member of the firm from performing the title

abstracting services and representing any party in the same real estate transaction?

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

Directions received

b) Client acknowledgment

If" Yes", in writing?

10.

Carolina Casualty Insurance Company

11.	Does the Applicant Firm have a policy which requires the recommendation of:			
	a)	Attendance at all client closings?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
	b)	Title insurance for all closing property transfers?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
	c)	Thorough review of title policy exceptions with client?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
12.		Does the Applicant Firm require formal internal training sessions on the firm policies and procedures for new lawyers who will become involved in its real estate practice?		
13.	Do the Applicant Firm's legal services, in connection with property transfer or leasing transactions, include a procedure to evaluate such things as:			
	a)	Whether the type of business in question creates, or may in the past have created, environmental problems?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
	b)	Whether any real or personal property owned or leased, now or in the past, or property to be acquired, is	Tes Tivo	
	D)	likely to be contaminated by hazardous substances (e.g., asbestos, lead or PCBs)?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
	c)	Whether any specific site locations owned or leased, now or in the past, or property to be acquired, are		
		located in or adjacent to, ecologically sensitive areas (e.g., wetlands, flood plains, aquifers, or conservation areas, etc.)?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
	d)	Whether any entity connected to the client, including all past and present parent subsidiaries, divisions or	a les a No	
	u)	spin-offs has ever been fined, penalized, cited, or sued for violating any federal, state, or local		
		environmental law or regulation?	☐ Yes ☐ No	
		If "Yes", in writing?	☐ Yes ☐ No	
14.	Does the Applicant Firm have a procedure which requires the investigation of potential, material environmental risks before resolution of price and other central terms and conditions?		☐ Yes ☐ No	
	If "\	es", in writing?	☐ Yes ☐ No	
15.	Does the Applicant Firm have a procedure which requires its attorneys to perform a thorough review with the client, of the economic impact of known environmental considerations and potential benefits of further identification or quantification of environmental risks in property transfer or leasing transactions with potential,			
	material environmental exposure?		☐ Yes ☐ No	
	If "Yes", in writing?		☐ Yes ☐ No	
16.		es the Applicant Firm have procedures which address the conduct of employees relative to the handling of terial, confidential information concerning environmental audits or investigation of transaction related parties?	☐ Yes ☐ No	
	If "Yes", in writing?		☐ Yes ☐ No	
17.		es the Applicant Firm have a procedure requiring the preservation of the written records of the firm in nection with any documentation concerning disclosure of site contamination to potential buyers or lessees?	☐ Yes ☐ No	
	If "Yes", in writing?		☐ Yes ☐ No	
18.	Does the Applicant Firm have a procedure requiring the preservation of the written records of the firm, in connection with documentation of investigation of sites, for buyers or lessees to discover environmental			
	damage?		☐ Yes ☐ No	
10		/es", in writing?	☐ Yes ☐ No	
19.	on	es the Applicant Firm have a procedure requiring its real estate lawyers to participate in in-house seminars current environmental topics and developments and/or to attend continuing legal education seminars on rent environmental developments?	☐ Yes ☐ No	
		/esr, in writing?	☐ Yes ☐ No	
		/	- 162 - 100	

LPL 29660 (rev. 05-99) Page 3 of 4

Carolina Casualty Insurance Company

20.	Does the Applicant Firm have a written procedure which addresses the firm's referral of environmental consultants to the firm's clients?			☐ Yes ☐ No		
	a) If "Yes", does the procedure require the recommendation of at least two consultants so as to require the client to choose one?					
	b) If "Yes", does the procedure require the general preservation of written communication with the client verifying the client's responsibility for both the engagement decision and any resultant risks?			☐ Yes ☐ No		
	I understand that the information submitted herein becomes a part of the Applicant Firm's Lawyers' Professional Liability Proposal Form and is subject to the same representations and conditions.					
			Title:			
Signature of Partner, Owner, Officer or Principal						
			Dated:			
Print	Nam	e				
	Please submit this Proposal Form including appropriate documentation to: Monitor Liability Managers, Inc., 2850 West Golf Road, Suite 800, Rolling Meadows, IL 60008-4034					
			Dated:			
Subr	nitted	by (PRODUCER)				
AGE	NT'S	NAME (Please Print Name Here)	AGENT'S LICENSE NUMBER			

NOTICE TO COLORADO APPLICANTS: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICY HOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICY HOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE

COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NOTICE TO NEW MEXICO, PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO APPLICANTS OF KENTUCKY: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUDS OR DECEIVES ANY INSURER OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, IS GUILTY OF A CRIME AND IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO APPLICANTS OF FLORIDA, MINNESOTA, NEW JERSEY, OHIO, AND OKLAHOMA: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUDS OR DECEIVES ANY INSURER OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, IS GUILTY OF A FELONY AND IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO DISTRICT OF COLUMBIA, MASSACHUSETTS, TENNESSEE, AND VIRGINIA APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

<u>NOTICE TO MAINE APPLICANTS:</u> IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES OR DENIAL OF INSURANCE BENEFITS.

LPL 29660 (rev. 05-99) Page 4 of 4